

Journal Pre-proof



"Transplacental Transmission of the COVID-19 Vaccine mRNA: Evidence from Placental, Maternal and Cord Blood Analyses Post-Vaccination"

Xinhua Lin, PhD, Bishoy Botros, BS, Monica Hanna, MD, Ellen Gurzenda, BS, Claudia Manzano De Mejia, MD, Martin Chavez, MD, Nazeeh Hanna, MD

PII: S0002-9378(24)00063-2

DOI: <https://doi.org/10.1016/j.ajog.2024.01.022>

Reference: YMOB 15456

To appear in: *American Journal of Obstetrics and Gynecology*

Received Date: 29 November 2023

Revised Date: 24 January 2024

Accepted Date: 24 January 2024

Please cite this article as: Lin X, Botros B, Hanna M, Gurzenda E, Manzano De Mejia C, Chavez M, Hanna N, "Transplacental Transmission of the COVID-19 Vaccine mRNA: Evidence from Placental, Maternal and Cord Blood Analyses Post-Vaccination", *American Journal of Obstetrics and Gynecology* (2024), doi: <https://doi.org/10.1016/j.ajog.2024.01.022>.

This is a PDF file of an article that has undergone enhancements after acceptance, such as the addition of a cover page and metadata, and formatting for readability, but it is not yet the definitive version of record. This version will undergo additional copyediting, typesetting and review before it is published in its final form, but we are providing this version to give early visibility of the article. Please note that, during the production process, errors may be discovered which could affect the content, and all legal disclaimers that apply to the journal pertain.

© 2024 Elsevier Inc. All rights reserved.

1 **Title:** "Transplacental Transmission of the COVID-19 Vaccine mRNA: Evidence from Placental,
2 Maternal and Cord Blood Analyses Post-Vaccination"

3
4

5 Xinhua Lin, PhD¹; Bishoy Botros, BS¹; Monica Hanna, MD²; Ellen Gurzenda, BS¹; Claudia

6 Manzano De Mejia, MD¹; Martin Chavez, MD³ and Nazeeh Hanna, MD^{1,2*}

7

8 **Affiliations:**

9 1- Women and Children's Research Laboratory.

10 Departments of Foundations of Medicine

11 New York University-Grossman Long Island School of Medicine.

12 259 First Street, Mineola, NY 11501

13

14 2- Division of Neonatology, Department of Pediatrics.

15 New York University-Langone Hospital—Long Island

16 New York University-Grossman Long Island School of Medicine

17 259 First Street, Mineola, NY 11501

18

19 3- Department of Obstetrics and Gynecology, Division of Maternal-Fetal Medicine

20 New York University-Langone Hospital—Long Island

21 New York University-Grossman Long Island School of Medicine

22 259 First Street, Mineola, NY 11501

23

24 ***Corresponding author:**

25 **Nazeeh Hanna, MD**

26 Professor of Pediatrics

27 Division of Neonatology, Department of Pediatrics.
28 New York University-Langone Hospital—Long Island
29 New York University-Grossman Long Island School of Medicine
30 259 First Street, Mineola, NY 11501

31 Email: Nazeeh.Hanna@NYULangone.org

32 Phone: 516-663-8450

33

34 **Disclosure:** The authors report no conflict of interest

35 **Funding:** NICHD 1R01HD098258-01A1

36 **Clinical Trial Information:** N/A

37 **Word count main text:** 1296

38

39 **Short title:** Transplacental transmission of the COVID-19 vaccine mRNA

40

41 **Keywords:** COVID-19, Vaccine mRNA, Biodistribution, Placenta, neonate, pregnancy

42

Objective:

43 SARS-CoV-2 infection presents substantial challenges to global health, necessitating effective
44 interventions such as COVID-19 vaccination. The initial clinical trials for the COVID-19 mRNA
45 vaccines excluded pregnant women, leading to a knowledge gap concerning the potential
46 biodistribution of the vaccine's mRNA to the placenta and or the fetus after maternal vaccination.
47 The Pfizer and Moderna Assessment Reports provided to the European Medicines Agency^{1,2}
48 concluded that in animal models, a fraction of the administered mRNA dose is distributed to distant
49 tissues, mainly the liver, adrenal glands, spleen, and ovaries. Another animal study showed that
50 lipid nanoparticles (LNPs)-mRNA injections, similar in composition to COVID-19 mRNA vaccines,
51 delivered functional mRNA to the placenta and other fetal organs.³ Our recently published study
52 demonstrated that the COVID-19 vaccine mRNA administered to lactating mothers can spread
53 systemically from the injection site to breast milk, indicating it could cross the blood-milk barrier.^{4,5}
54 Another study evaluating the effects of maternal COVID-19 vaccination on the hematopoietic stem
55 progenitor cells in the umbilical cord blood suggested that the LNPs/mRNA vaccines might reach
56 the fetus following maternal vaccination.⁶ This report presents two unique cases wherein pregnant
57 individuals were vaccinated with the COVID-19 mRNA vaccine shortly before delivery. This study
58 aimed to assess the presence of COVID-19 vaccine mRNA in the placenta and cord blood
59 following maternal vaccination during human pregnancy.

61

Study Design:

63 This study involved two pregnant individuals. Patient #1, a 34-year-old gravida at 38 weeks and
64 4 days of gestation had pregnancy-induced hypertension and was vaccinated with two Pfizer
65 COVID-19 vaccine doses and two booster doses (Pfizer and Moderna). The last dose was a
66 Moderna booster administered two days before cesarean section delivery of a healthy baby.
67 Samples from the placenta, maternal blood, and cord blood were collected post-delivery. Patient
68 #2, a 33-year-old gravida at 40 weeks of gestation, had an uncomplicated pregnancy and received

69 two Pfizer COVID-19 vaccine doses; the last dose was administered 10 days before vaginal
70 delivery of a healthy baby. Only placental samples were collected after birth.
71 COVID-19 vaccine mRNA was assayed by Droplet Digital PCR (ddPCR) in the placenta, cord,
72 and maternal blood. Based on the putative sequences of the mRNA1273 (Moderna) and
73 BNT162b2 (Pfizer) vaccines, two PCR assays targeting two regions of the vaccine mRNA were
74 designed.⁵ The vaccine mRNA localization in the placental sections was done by in situ
75 hybridization (ISH) using RNAscope targeting the BNT162b2 and mRNA1273 vaccine
76 sequences. Placental samples from mothers without COVID-19 (confirmed by PCR) and with no
77 history of vaccination were used as the negative controls. We used placenta explants spiked with
78 diluted BNT162b2 or mRNA1273 for positive controls. Placental expression of spike protein was
79 evaluated using an automated capillary western blot system (WES). The stability of vaccine
80 mRNA can be variable and may degrade during distribution and cellular entry. Since the vaccine's
81 efficacy in activating an immune response is closely associated with the fully intact vaccine
82 amount, we assessed the vaccine mRNA's quality and extent of degradation in the samples using
83 ddPCR linkage duplex assay.⁵

84

85 **Results**

86 The vaccine mRNA was detected in the two placentas tested (Table) using quantitative ddPCR
87 and ISH. The localization of the vaccine mRNA was mainly in the villus stroma (panels Ab and
88 Ad), with a notably high signal in the decidua of patient 1 (panel Aa) compared to that of patient
89 2 (Panel Ac). Using WES, the Spike protein expression was detected in the placenta of patient #
90 2, but not in patient #1, as demonstrated in panel Aa. Furthermore, the vaccine mRNA was
91 detected in the cord and maternal blood of patient #1 using ddPCR (Table). Unfortunately, no
92 umbilical cord or maternal blood samples were available for analysis in patient #2. Finally, the
93 integrity of the vaccine mRNA varied across different samples. In the placentas, 23% and 42% of
94 the original integrity were retained in patients 1 and 2, respectively (Table 1). The vaccine mRNA

95 in the maternal blood showed a high integrity level of 85%; however, in the cord blood, it
96 decreased to 13% of the original vaccine mRNA's integrity (panels Bc and Bd).

97

98 **Conclusions:**

99 Our findings suggest that the vaccine mRNA is not localized to the injection site and can spread
100 systemically to the placenta and umbilical cord blood. The detection of the spike protein in the
101 placental tissue indicates the bioactivity of the vaccine mRNA reaching the placenta. Notably, the
102 vaccine mRNA was largely fragmented in the cord blood and, to a lesser extent, in the placenta.
103 To our knowledge, these two cases demonstrate, for the first time, the ability of the COVID-19
104 vaccine mRNA to penetrate the fetal-placental barrier and reach the intrauterine environment.

105

106 Two previous human studies by the same research group investigated the presence of COVID
107 vaccine mRNA in the placenta, but with different methodologies and results.^{7,8} The first study,
108 using qRT-PCR, failed to detect mRNA in maternal blood, cord blood, or placental tissue, possibly
109 due to the long interval between vaccination and delivery and the use of a single primer set not
110 fully aligned with the mRNA-1273 vaccine.⁷ In their subsequent study to improve the sensitivity of
111 the detection, an RNAscope-based ISH assay was used, which also did not detect the vaccine
112 mRNA. However, the probe used targeted the SARS-CoV-2 S gene rather than the vaccine mRNA
113 sequence.⁸ This can lead to inaccurate results due to the mismatch between the probe and the
114 target sequence. In our study, we adopted a more sensitive and robust approach. We used two
115 primer sets covering ~1.5 kb of the full-length mRNA vaccine to enhance detection sensitivity.
116 Furthermore, we utilized ddPCR for more precise quantification of the vaccine mRNA, offering
117 superior accuracy and sensitivity over RT-qPCR. Lastly, our RNAscope-based ISH assay used a
118 probe tailored explicitly for the vaccine mRNA, thus ensuring more reliable detection.

119

120 In this report, the placental concentration of the vaccine mRNA was higher in patient #1 (delivered
121 2 days after vaccination) than in patient #2 (delivered 10 days after vaccination). This observation
122 is likely attributable to the short half-life of the vaccine mRNA, leading to rapid degradation by day
123 10 post-vaccination. Conversely, the expression of the spike protein in the placenta of patient #2,
124 but not in patient #1, suggests that more than two days are required post-vaccination for the
125 mRNA to reach the placenta and be translated into the spike protein, which is then expressed in
126 the placental tissue. Notably, a significant amount of the vaccine mRNA in patient #1's maternal
127 blood was also detected in the cord blood (Table 1, approximately one-third). However, the
128 vaccine mRNA integrity was significantly reduced to 13%. While the vaccine mRNA in cord blood
129 seems fragmented, suggesting limited bioactivity, further investigation is required to determine
130 the minimum amount of mRNA required to elicit an immune response in the fetus. Although our
131 findings are novel, they represent only two cases, and validation through subsequent research is
132 needed. Furthermore, the specific mechanisms and contributing factors that facilitate the
133 transplacental transport of vaccine mRNA need further exploration.

134
135 The evidence overwhelmingly supports the COVID-19 vaccine's effectiveness in mitigating the
136 morbidity and mortality related to the COVID-19 disease in pregnant and non-pregnant
137 individuals. The widespread acceptance and proven safety of mRNA vaccines during the COVID-
138 19 pandemic have opened doors for other mRNA therapies. While gene therapy, particularly
139 mRNA-based treatments, shows promise, research on its perinatal delivery is still emerging.
140 Prenatal therapy can be advantageous, as it offers early disease intervention and reduced
141 immunogenicity. In experiments with pregnant rats, LNPs successfully delivered various mRNAs,
142 including one potentially useful for treating fetal anemia.³ Although introducing mRNA to the fetus
143 may pose potentially plausible risks, it may also have biologically plausible benefits. The potential
144 of mRNA-based interventions in addressing maternal and fetal health issues is profound. Such

145 insights could substantially advance the crafting of safer and more effective mRNA-based
146 therapies during pregnancy.

147

148 **Data Availability Statement**

149 Raw data for every experiment are available upon request. Upon justifiable request, the sharing
150 of de-identified data should be approved by the board of an investigational ethics committee.

151

152 **Acknowledgments**

153 We thank Regina Cafferty, RN (Department of Pediatrics, NYU Langone Hospital-Long Island),
154 for her help in subject recruitment.

155

156 **Statement of Ethics**

157 New York University institutional review board approval (approval numbers: i21-01616 and i18-
158 01692) was obtained before initiating the study.

159

160 **Author Contributions**

161 NH and XL had full access to all of the data in the study and took responsibility for the integrity of
162 the data and the accuracy of the data analysis.

163 XL, NH, BB, MH, EG, CD, and MH: conceived, designed, and performed the experiments,
164 analyzed and interpreted data.

165 NH, MC and MH: collection of medical history

166 XL, NH, MH and MC: manuscript writing, table and figure preparation and final approval of the
167 manuscript.

168 All authors: revision and final approval of the manuscript.

169

170

171 **REFERENCES**

172

173 1. Assessment report COVID-19 mRNA vaccine (nucleoside-modified). European Medicines
174 Agency (EMA). Procedure no. EMEA/H/C/005735/0000. Accessed September 22, 2023.

175 Available at: [https://www.ema.europa.eu/en/documents/assessment-report/comirnaty-epar-](https://www.ema.europa.eu/en/documents/assessment-report/comirnaty-epar-public-assessment-report_en.pdf)
176 [public-assessment-report_en.pdf](https://www.ema.europa.eu/en/documents/assessment-report/comirnaty-epar-public-assessment-report_en.pdf)

177 2. Assessment report, COVID-19 vaccine Moderna, European Medicines Agency (EMA).

178 Procedure no. EMEA/H/C/005791/0000. Accessed September 22, 2023. Available at:

179 [https://www.ema.europa.eu/en/documents/assessment-report/spikevax-previously-covid-19-](https://www.ema.europa.eu/en/documents/assessment-report/spikevax-previously-covid-19-vaccine-moderna-epar-public-assessment-report_en.pdf)
180 [vaccine-moderna-epar-public-assessment-report_en.pdf](https://www.ema.europa.eu/en/documents/assessment-report/spikevax-previously-covid-19-vaccine-moderna-epar-public-assessment-report_en.pdf)

181 3. Riley RS, Kashyap MV, Billingsley MM, et al. Ionizable lipid nanoparticles for in utero mRNA

182 delivery. *Sci Adv.* 2021;7(3):eaba1028. doi: 10.1126/sciadv.aba1028. Print 2021 Jan. doi:

183 10.1126/sciadv.aba1028.

184 4. Hanna N, Heffes-Doon A, Lin X, et al. Detection of messenger RNA COVID-19 vaccines in

185 human breast milk. *JAMA Pediatr.* 2022;176(12):1268-1270. doi:

186 10.1001/jamapediatrics.2022.3581.

187 5. Hanna N, De Mejia CM, Heffes-Doon A, et al. Biodistribution of mRNA COVID-19 vaccines in

188 human breast milk. *EBioMedicine.* 2023;96:104800. doi: 10.1016/j.ebiom.2023.104800.

189 6. Estep BK, Kuhlmann CJ, Osuka S, et al. Skewed fate and hematopoiesis of CD34(+) HSPCs

190 in umbilical cord blood amid the COVID-19 pandemic. *iScience.* 2022;25(12):105544. doi:

191 10.1016/j.isci.2022.105544.

192 7. Prah M, Golan Y, Cassidy AG, Matsui Y, Li L, Alvarenga B, Chen H, Jigmeddagva U, Lin CY,

193 Gonzalez VJ, Chidboy MA, Warriar L, Buarpong S, Murtha AP, Flaherman VJ, Greene WC, Wu

194 AHB, Lynch KL, Rajan J, Gaw SL. Evaluation of transplacental transfer of mRNA vaccine

195 products and functional antibodies during pregnancy and infancy. *Nat Commun.* 2022 Jul

196 30;13(1):4422. doi: 10.1038/s41467-022-32188-1. PMID: 35908075; PMCID: PMC9338928.

197 8. Gonzalez VJ, Li L, Buarpung S, Prah M, Robinson JF, Gaw SL. Minimal mRNA uptake and
198 inflammatory response to COVID-19 mRNA vaccine exposure in human placental explants.
199 iScience. 2023 Aug 7;26(9):107549. doi: 10.1016/j.isci.2023.107549. PMID: 37664582; PMCID:
200 PMC10470080.

201

202

203 **FIGURE LEGEND**

204

205 **Panel A COVID-19 vaccine mRNA detection in the placenta by in situ hybridization**

206 Demonstrates COVID-19 vaccine mRNA detected in paraffin-embedded placental tissue using
207 "in situ hybridization (RNAscope™)." Panels Aa and Ab represent samples from patient 1,
208 demonstrating positive signals in the decidua (panel Aa) and the villi (panel Ab) using
209 RNAscope™ Probe- S-encoding-mRNA-1273-C1. Panel Ac and Ad represent samples from
210 patient 2, demonstrating positive signals in the decidua (panel Ac) and the villi (panel Ad) using
211 RNAscope® Probe - S-encoding-BNT-162b2-C1.

212

213 **Panel B Placental Spike protein expression and the vaccine mRNA integrity.**

214 Demonstrates the expression of S protein in the placenta and the integrity of vaccine mRNA in
215 cord and maternal blood. Panel Ba shows the expression of S protein in tissue lysate of placental
216 biopsies from patients 1 and 2, analyzed by automated capillary western blot (WES). Control:
217 pre-pandemic placenta sample. S: Full-length S protein.

218

219 Circulating vaccine mRNA integrity was assayed in a duplex ddPCR assay in samples from
220 patient 1 maternal blood (panel Bc, relative linkage 85%) and cord blood (panel Bd, relative
221 linkage 13%). Panel Bb represents a blood sample of an unvaccinated subject showing no
222 positive signal. Droplets emitting 2D signals were separated into four groups (Gray, double
223 negative for mRNA1273-1 and mRNA1273-2; Blue, positive for mRNA1273-1, negative for
224 mRNA1273-2; Green, positive for mRNA1273-2, negative for mRNA1273-1; Orange, double
225 positive for both mRNA1273-1 and mRNA1273-2). The number of droplets in each single or
226 double positive group was calculated by QX Manager Software, and the percent linkage of each
227 sample was expressed as a percentage of linked molecules in relation to the total molecules
228 detected normalized to the original vaccine stock solution.⁵

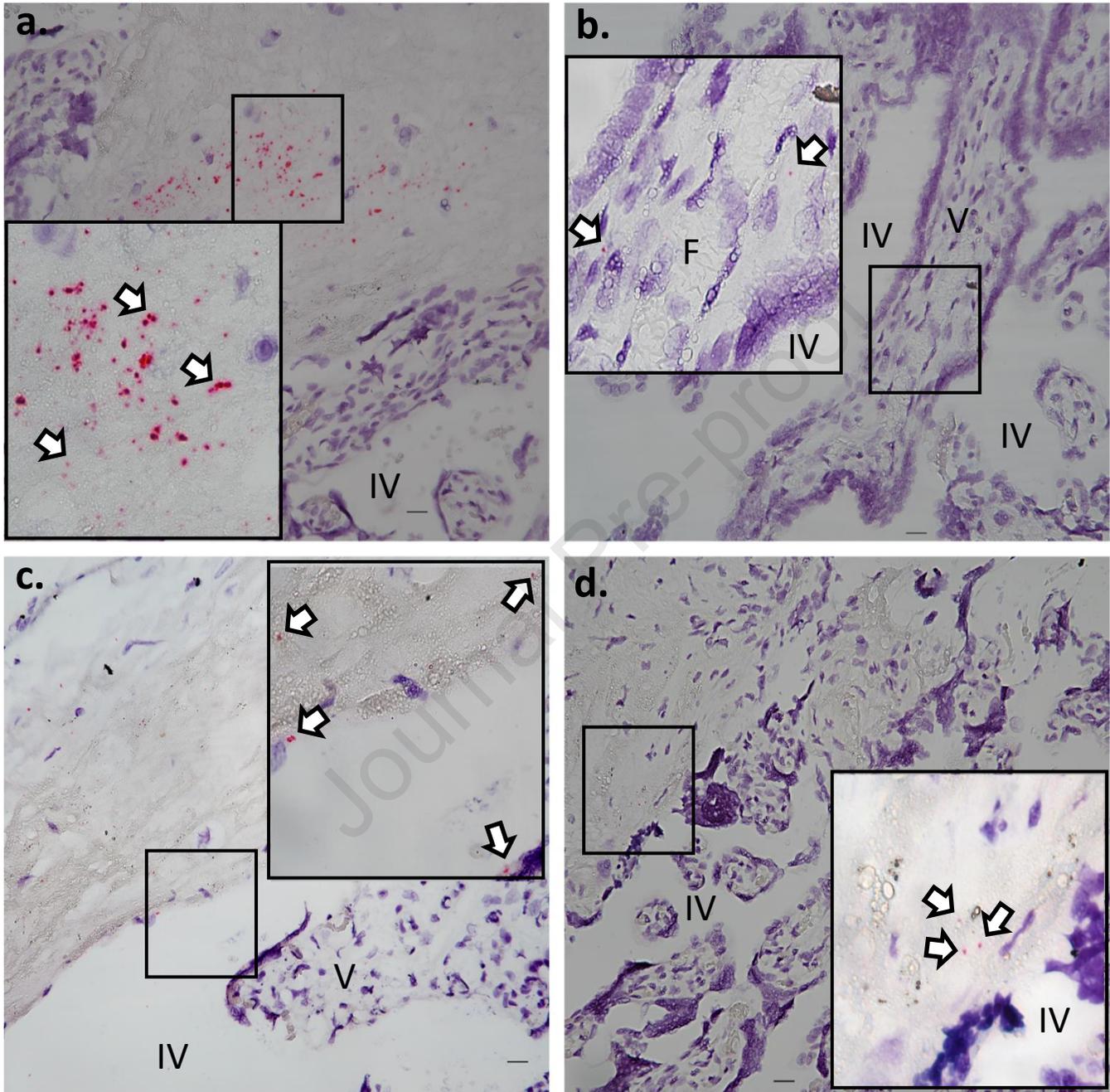
229

Table. Summary of vaccination history and vaccine mRNA and Spike protein detection.

	Patient 1	Patient 2
Gestational age	38 weeks +4 day	40 weeks +0 day
Birth type	Cesarean section	Vaginal delivery
COVID-19 disease history	One month before delivery	No COVID-19 history
Days between the last vaccination and delivery	2	10
Prior COVID-19 Vaccine history	Pfizer (3 doses) and one Moderna Booster	Pfizer (2 initial doses)
Last Vaccine type	Moderna Booster	Pfizer second dose
Vaccine mRNA detection in the placenta		
by ddPCR	5,033,000 ^a (23%) ^b	1,387,000 ^a (42%) ^b
by ISH	Detected	Detected
Spike Protein detection in the placenta		
by WES	Not Detected	Detected
Vaccine mRNA detection in maternal and cord blood		
Maternal blood (by ddPCR)	209,761 ^c (85%) ^b	N/A
Cord blood (by ddPCR)	56,653 ^c (13%) ^b	N/A

^amRNA copies per gram tissue. ^bRelative linkage. ^cCopies per mL blood.

Panel A



V, villi, IVS, intervillous space, FV, fetal vessel. Scale bar, 20 μ m.

Panel B

